

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David R. Tushie et al.	Examiner:	Mary Da Zhi Wang Cheung
Serial No.:	09/416,252	Group Art Unit:	3694
Filed:	October 12, 1999	Docket:	457.003US3
Title:	SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION		

INFORMATION DISCLOSURE STATEMENT

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

DAVID R. TUSHIE ET AL.

By their Representatives,

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Date July 19, 2007

By / *Ann M. McCrackin*

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system EFS-Web, and is addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 19th day of July 2007.

Amy Moriarty

Name

Signature

Amy Moriarty

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/416,252
Filing Date	October 12, 1999
First Named Inventor	Tushie, David
Group Art Unit	3694
Examiner Name	Cheung, Mary

Sheet 1 of 1

Attorney Docket No: 457.003US3

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
	DE-3728860	03/10/1988	Hirokawa, K. , et al.	
	DE-3809028	09/29/1988	Masada, S. I.	
	DE-3927270	02/28/1991	Wolfenstetter, K. , et al.	
	EP-266926A2	05/11/1988	Linden, L. , et al.	
	EP-466969A1	01/22/1992	Hueske, T. , et al.	
	FR-2638002	04/20/1990	Deroudihir, G. , et al.	
	WO-8604170A1	07/17/1986	Nonat, J.	
	WO-9307697A1	04/15/1993	Julin, T. , et al.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"European Search Report for Application No. 06020123.3-2221, date mailed February 5, 2007", 11pgs.	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached